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APR 27 2007

THE LAW OFFICES OF  
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ANDREW D. FORTNEY, PH.D.

ALEC B. PLUMB

ATTN: MAIL STOP CERTIFICATE OF CORRECTION BRANCH

COMPANY: COMMISSIONER FOR PATENTS / P.O. BOX 1450, ALEXANDRIA VA 22313-1450

FAX: 1 (571) 273-8300

DATE: 04-27-2007

TIME: 11:45 AM (PM)

- ☐ WITH CONFIRMATION  
☒ WITHOUT CONFIRMATION

RE: APPLICATION No. 09/377,383

FILED: August 19, 1999

I hereby certify that this document and all documents listed below are being transmitted via facsimile to  
Commissioner for Patents, fax no. (571) 273-8300, on April 27, 2007

By: Jennie Heaton  
Jennie Heaton

## SUBMITTED HERewith FOR THE ABOVE-CAPTIONED APPLICATION:

- Transmittal Form
- Fee Transmittal Form
- Credit Card Payment Form
- Request for Certificate of Correction (3 Pgs.)
- Certificate of Correction

Respectfully submitted,

Alec B. Plumb  
Alec B. Plumb; Reg. No. 56,433

PLEASE DIRECT ANY QUESTIONS REGARDING THE TRANSMISSION OF THIS FAX TO:  
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THIS FAX CONTAINS 8 PAGE(S) INCLUDING THIS ONE.

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PTO/SB/21 (07-06)

Approved for use through 09/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/377,383	<b>RECEIVED CENTRAL FAX CENTER APR 27 2007</b>
	Filing Date	August 19, 1999	
	First Named Inventor	Bing-Bing CHAI	
	Art Unit	2621	
	Examiner Name	Dang, Duy M.	
Total Number of Pages in This Submission	8	Attorney Docket Number	MTKI-04-359A

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Fax Transmission Cover Page - Credit Card Payment Form - Request for Certificate of Correction - Certificate of Correction
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	The Law Offices of Andrew D. Fortney, Ph.D., P.C.	
Signature	<i>Alec B. Plumb</i>	
Printed name	Alec B. Plumb	
Date	04-27-2007	Reg. No. 56,433

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:		
Signature	<i>Jennie Heaton</i>	
Typed or printed name	Jennie Heaton	Date 04-27-2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (07-06)

Approved for use through 01/31/2007, OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**  
**For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 230**Complete If Known**

Application Number	09/377,383
Filing Date	August 19, 1999
First Named Inventor	Bing-Bing CHAI
Examiner Name	Dang, Duy M.
Art Unit	2621
Attorney Docket No.	MTKI-04-359A

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**CENTRAL FAX CENTER****APR 27 2007****METHOD OF PAYMENT (check all that apply)**

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☐ Deposit Account Deposit Account Number: \_\_\_\_\_ Deposit Account Name: \_\_\_\_\_

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☐ Charge any additional fee(s) or underpayments of fee(s) ☐ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
50	25

Each independent claim over 3 (including Reissues)

200	100
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Multiple dependent claims

360	180
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Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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- 20 or HP =	0	x	50	=	0
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HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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- 3 or HP =	0	x	200	=	0
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HP = highest number of independent claims paid for, if greater than 3.

**Multiple Dependent Claims**

Fee (\$)	Fee Paid (\$)
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360	0
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**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
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- 100 =		/ 50 =	(round up to a whole number) x		=	0
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**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)
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0
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Other (e.g., late filing surcharge): Fee Under 37 CFR 1.20(a) [\$100.]; Fee Under 37 CFR 1.17(l) [\$130.]

230
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**SUBMITTED BY**

Signature	<i>Alec B. Plumb</i>	Registration No. (Attorney/Agent)	56,433	Telephone	559-432-6847
Name (Print/Type)	Alec B. Plumb	Date	04-27-2007		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Atty. Docket No. MTKI-04-359A

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APR 27 2007

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

## Chai, Bing-Bing

**SERIAL NO: 09/377,383**

**FILED: August 19, 1999**

**FOR: APPARATUS AND METHOD  
FOR FORMING A CODING  
UNIT**

**PATENT NO.: 6,970,604**

**ISSUED: November 29, 2005**

05/01/2007 HLE333 00000009 09377383

01 FC:1464 130.00 OP

I hereby certify that this document is being facsimile transmitted to the USPTO or deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 27, 2007.

05/01/2007 HLE333 00000010 6970604

01 FC:1811 100.00 DP

**By:**

## Jennic Heaton

REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R. § 3.81(b) AND 37 C.F.R. § 1.323 TO CORRECTLY STATE THE NAME OF THE ASSIGNEES

**ATTENTION: Certificate of Correction Branch**  
**COMMISSIONER FOR PATENTS**  
**P.O. BOX 1450**  
**ALEXANDRIA, VA 22313-1450**  
**FAX: (571) 273-8300**

**SIR:**

Applicants respectfully request a Certificate of Correction in the above-referenced Patent under 37 C.F.R. § 3.81(b) and 37 C.F.R. § 1.323 to correctly state the names of the Assignees. The fees of \$100.00 under 37 C.F.R. 1.20(a) and \$130 under 37 C.F.R. 1.17(i) are authorized to be paid by credit card, a Credit Card Payment Form being submitted herewith.

Atty. Docket No. MTKI-04-359A  
Serial No: 09/377,383

The facts are as follows:

The above-referenced patent was assigned by the inventor to Sarnoff Corporation of Princeton, New Jersey, and Sharp Kabushiki Kaisha, of Osaka, Japan, on August 19, 1999. This Assignment was recorded with the USPTO on August 19, 1999, and is reflected in the Assignment Records of the USPTO at Reel #010193, Frame #0833. Sarnoff Corporation assigned its interest in the above-referenced patent to MediaTek Inc. of Hsin Chu, Taiwan on October 22, 2004. This Assignment was recorded with the USPTO on May 27, 2005, and is reflected in the Assignment Records of the USPTO at Reel #016283, Frame #0355. Thus, the Assignments naming the present Assignees (i.e., MediaTek Inc. and Sharp Kabushiki Kaisha) were submitted for recordation with the USPTO prior to the issuance of the above-referenced patent on November 29, 2005.

No new matter or new issues requiring further examination are introduced by the requested correction. Furthermore, the error is believed to have occurred in good faith at the time of the payment of issue fees in the above-referenced patent. In the Fee Transmittal form filed on September 12, 2005, Applicant's representative inadvertently listed only the name of MediaTek Inc. The omission of Sharp Kabushiki Kaisha from the Fee Transmittal form was a clerical error.

Atty. Docket No. MTKI-04-359A  
Serial No: 09/377,383

**The corrections requested are as follows:**

Cover Page, Assignee, change "Media Tek Inc., Hsin Chu (TW)" to --MediaTek Inc.,  
Hsin Chu (TW); Sharp Kabushiki Kaisha, Osaka (JP)--.

Respectfully submitted,



Alec B. Plumb  
Reg. No. 56,433  
THE LAW OFFICES OF ANDREW D. FORTNEY, PH.D., P.C.

401 W. Fallbrook Ave., Suite 204  
Fresno, California 93711  
(559) 432 - 6847

ABP:abp

PTO/SB/44 (04-05)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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(Also Form PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 6,970,604  
APPLICATION NO.: 09/377,383  
ISSUE DATE : November 29, 2005  
INVENTOR(S) : Bing-Bing Chai

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Cover Page, Assignee, change "Media Tek Inc., Hsin Chu (TW)" to

--MediaTek Inc., Hsin Chu (TW); Sharp Kabushiki Kaisha, Osaka (JP) --.

### MAILING ADDRESS OF SENDER (Please do not use customer number below):

The Law Offices of Andrew D. Fortney, Ph.D., P.C.  
401 W. Fallbrook Ave., Ste. 204  
Fresno, CA 93711

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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